

**PrimusLabs.com Audit (98704)
 Harvest Crew v07.04**

Harvest Company(s)	Diamond Cutters
Customer(s)	Diamond Produce, LLC. Contact: <u>Frank Ballesteros</u> Address: P.O. BOX 6970 Salinas California 93912 United States Phone Number: 831 758-3758 Fax Number: 831 758-6278 Email(s): <u>frankb@diamondproduce.com</u>
Grower(s)	Black Dog Farms Contact: N/A N/A Address: N/A Holtville California N/A United States Phone Number: N/A
Ranch(s)	HighLine Lateral 4-157 Lot 14B Contact: == Address: Hwy 8 South Side Vanderlinden Rd El Centro California - United States Phone Number: - Fax Number: - Email(s): =
Audit Type:	Harvest Crew
Foreman or Contact Person (and email):	Jesus Sais
Crew Name Or Number:	2
Location/City:	Holtville
State:	California
Country:	United States
Date Audit Started:	03/10/2009 10:00
Date Audit Finished:	03/10/2009 11:00
Commodity(ies):	Head Clean & Cored Lettuce
Auditor:	<u>Ricardo Canchola</u> (Sub-Contract Auditors)
Audit Percentage Score:	97%
Scoring Category:	95-100% Superior
Announced Audit?	Yes

Audit Entered By: Ricardo Canchola

Additional Report Links

[See Severity Answers & Recommendations](#)

[See The Result Comparison](#)

[See The Audited Entity History](#)

Corrective Actions Response

Note: This link must be used in order to notify that you are taking action in the corrective measures for questions that were not in full compliance.

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Inspection							
Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/ Picture
01.01	Have self-audits been completed for each harvest crew?	Yes	5	5		Yes. The internal inspections (self audits) are done on each crew.	
01.02	Was a pre-harvest inspection performed on the block being harvested and was the block cleared for harvest? If NO, go to 1.3.	Yes	5	5		Yes. Pre-harvest block inspection was completed.	
01.02a	Where pre-harvest inspections have discovered issues, have buffer zones been clearly identified and at the time of the audit, are these buffer zones being respected?	N/A	0	0			
01.03	Are there records of daily pre-operation inspections that check key aspects of equipment hygiene, personal hygiene, etc.?	Yes	5	5		Yes. The daily pre-operation inspection log, completed by the crew foreman, included review of the cleanliness of the harvest tools, and gloves used by the employees, the concentration of the free chlorine and pH in the water used.	
01.04	Is there a documented and implemented policy that when commodities are dropped on the ground they are discarded? (Non-applicable for commodities such as tubers, root crops, etc.)	Yes	5	5		Yes. There is an implemented policy in place when commodities are dropped on the ground – they are discarded	
01.05		Yes	15	15			

Is there a food safety hygiene training program covering new and existing employees and are records of these training events?

Yes. All new employees receive a 'short course' before going into the field. A longer course covering food safety and employee safety is conducted routinely with the existing employees. Records were available for review.

01.06 Is there is a documented and implemented policy stating what happens when harvesters find evidence of animal intrusion e.g. fecal material? **Yes** 5 5

Yes. There is a document (SOP) regarding animal intrusion.

Employee Activities & Sanitary Facilities

Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/ Picture
02.01	Are there any employees observed with improperly covered open wounds?	No	5	5		No. No employees were observed with open wounds.	
02.02	Are any employees eating and drinking (other than water) in active harvest areas, areas yet to be harvested, near harvested product or storage areas?	No	5	5		No. Auditor did not observe any of these issues.	
02.03	Are any employees using tobacco products in active harvest areas, areas yet to be harvested, near harvested product or storage areas?	No	5	5		No. No employees were observed using tobacco products in active harvest area.	
02.04	Is it evident the clothing harvesters are wearing is not posing a cross contamination risks?	Yes	5	5		Yes. Clothing harvesters were wearing did not pose a cross contamination risks	
02.05	Is it evident that employees are free of exposed jewelry (that may pose a foreign contamination issue) except for a single plain ring?	No	0	5	There should be no employees wearing loose objects above the waist (e.g., jewelry) except for a single plain ring.	No. A employee was using jewelry. (watch)	
02.06	Where gloves are required by the auditee, are they appropriate for the type of harvesting (i.e., not using cotton gloves for harvesting a product such as lettuce) and are they in good working order?	Yes	5	5		Yes. Disposable type of gloves used.	
02.06a	Where gloves are used, are they latex-free?	Yes	0	0			

02.07	If any protective clothing is required by the auditee (e.g., gloves, aprons, sleeves) are they removed prior to using restrooms, going on breaks, etc?	Yes	5	5		Yes. Yes all employees remove their protective clothes prior to using restrooms, going on breaks.
02.07a	Are secondary hand sanitation stations (e.g., hand dip, gel or spray stations) adequate in number and location? Are the stations maintained properly? NOTE: Secondary hand sanitation does not replace hand washing requirements (lack surfactant qualities).	Yes	5	5		Yes. Using gel type sanitizers.
02.08	Are there operational toilet facilities provided? If this question is answered No, the audit will result in an automatic failure. If No, go to 2.9	Yes	20	20		Yes. Operational toilets are provided.
02.08a	Are toilet facilities located in a suitable area and within ¼ mile or 5 minutes walking distance of all employees?	Yes	10	10		Yes. Toilet facilities are located outside of growing area, within 5 minutes walking distance.
02.08b	Are toilet facilities in a suitable location to prevent contamination to product, packaging, equipment, and growing areas?	Yes	15	15		Yes. Toilet facilities are located outside of growing area to prevent contamination to product, packaging, equipment.
02.08c	Are separate toilet facilities provided for men and women in groups larger than 5 employees?	Yes	5	5		
02.08d	Is a minimum of one toilet facility provided for each group of 20 employees?	Yes	10	10		
02.08e	Do toilet facilities have visuals or signs, written in the appropriate languages, reminding employees to wash their hands before returning to work?	Yes	5	5		Yes. Toilets facilities have proper signage reminding employees to wash their hands.
02.08f	Are toilets supplied with toilet paper and is the	No	0	5	Toilet paper should be provided in a suitable	No. There are paper on the floor.

	toilet paper maintained properly (e.g. toilet paper rolls not stored on the floor or in the urinals)?				holder in each toilet facility. Toilet paper should be maintained properly (e.g. toilet paper rolls not stored on the floor or in the urinals).	
02.08g	Are the toilets maintained in a clean condition?	Yes	10	10	Yes.Toilets are maintained and are in a clean condition.	
02.08h	Are toilets constructed of materials that are easy to clean?	Yes	2	2	Yes.Toilets are maintained and are in a clean condition.	
02.08i	Are the toilet's construction materials of a light color allowing easy evaluation of cleaning performance?	Yes	2	2		
02.08j	Is there a documented and implemented policy that if portable toilets are used, waste is disposed of properly and the units are cleaned in an appropriate location?	Yes	5	5	Yes.There is an implemented policy on properly cleaning toilets units.	
02.08k	Are there toilet cleaning records and for portable toilets, are there servicing records?	Yes	2	2	Yes.Toilets are cleaned daily, records were available for review during this audit.	
02.08l	If used, are catch basins of the toilets designed and maintained to prevent contamination (e.g. free from leaks and cracks)?	Yes	5	5	Yes.The ground underneath and around the toilets was clean upon observation during the audit.	
02.08m	Are the toilet catch basins emptied properly?	N/A	0	0	N/A.This activity was not observed during the audit.	
02.09	Is there evidence of human fecal contamination in the growing area? If this Yes, an automatic failure of the audit will occur.	No	20	20	No.There was no evidence of human fecal contamination in the growing area.	
02.10	Are operational hand washing facilities provided? If No, go to 2.11, If this question is answered No, an automatic failure of the audit will occur.	Yes	20	20	Yes.Hand washing facilities are located outside of the toilet rooms.	
02.10a	Are the hand washing facilities placed within ¼ mile or 5 minutes walking distance of all employees?	Yes	15	15		

02.10b	Are hand wash stations clearly visible (e.g. situated outside the toilet facility) and easily accessible to workers?	Yes	2	2	Yes.The hand wash stations are clearly visible, outside of the toilets rooms.
02.10c	In the event of running out of toilet materials (e.g., water, soap, toilet tissue, hand paper towels) are there extra supplies readily available so that toilets can be restocked quickly?	Yes	5	5	
02.10d	Is soap made available at all hand washing facilities? If No, go to 2.10f.	Yes	10	10	
02.10e	Is non-perfumed soap available?	Yes	5	5	
02.10f	Are single-use towels available at all hand washing facilities and trash cans for them?	Yes	10	10	Yes.Single use towels and trash cans were available at all hand washing stations.
02.10g	Are the hand wash stations designed and maintained properly (e.g. ability to capture or control rinse water to prevent contamination onto product, packaging, and growing area, free of clogged drains, etc)?	Yes	5	5	Yes.Water is captured not able to fall to the ground.
02.10h	Are the employees washing their hands prior to beginning work? Score NA if this discipline is not observed at the time of the audit.	N/A	0	0	N/A.This discipline was not observed at the time of the audit.
02.10i	Are the employees washing their hands after break periods? Score NA if this discipline is not observed at the time of the audit.	N/A	0	0	N/A.This discipline was not observed at the time of the audit.
02.10j	Are the employees washing their hands after using the toilet facilities? Score NA if this discipline is not observed at the time of the audit.	Yes	15	15	
02.10k	Is it evident that corrective action is taken when employees fail to comply with hand washing guidelines?	N/A	0	0	N/A.During this audit a corrective action was not necessary.

02.11	Is fresh potable drinking water readily accessible to employees.? If No, go to 2.12.	Yes	7	7	
02.11a	Are the water containers maintained in a clean condition?	Yes	5	5	
02.11b	Are single use cups provided (unless a drinking fountain is used) made available near the drinking water?	Yes	7	7	Yes.Dispensible cone cups are provided and made available near the drinking water.
02.12	Are first-aid kits available and is the inventory maintained properly?	Yes	5	5	Yes.First-aid kits are well maintained.
02.13	If observed, are all commodities that come in contact with blood destroyed? If this question is answered No, an automatic failure of the audit will occur.	N/A	0	0	N/A.Such an incident was not observed during the audit.
02.13a	Is there a documented and implemented policy and procedure in place and available for all commodities that come in contact with blood to be destroyed?	Yes	5	5	Yes.There was a detailed SOP available regarding this.
02.14	Is garbage disposed of properly in the harvested and non harvested areas?	Yes	10	10	Yes.Garbage is disposed of properly.
02.15	Are garbage containers provided in the field for the disposal of food and beverage containers, cups, and paper towels? If No, go to 2.16.	Yes	5	5	
02.15a	Are garbage containers constructed and maintained (e.g. bags, lids) to protect against pre harvest or post harvest contamination of the crop?	Yes	5	5	
02.16	Have any potential metal, glass, or plastic contamination issues been controlled?	Yes	5	5	

Harvest Practices

Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/ Picture
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03.01	Is the crop free of any evidence of systematic animal fecal (e.g. flocking geese, escaped cattle) contamination? If this question is answered NO, this question results in an automatic failure.	Yes	20	20		Yes.No issues found during the inspection.
03.02	Is there evidence of animal activity in the crop that is a potential food safety risk?	No	20	20		No.There is no evidence of animal activity in crop area.
03.03	Is the product packed in the final packing unit in the field ? If No, go to 3.4.	No	0	0	This question refers to product packed in the field that is in the final unit for shipping (i.e. clamshell, wrapped products, etc.), that usually bypasses any selection packing lines in a facility i.e. goes to a cooling process as opposed to a packing	
03.03a	Is packing material (e.g. cartons, bags, clamshells, sacks, RPCs) intended for carrying product used for that purpose only?	N/A	0	0		
03.03b	Is packing material free from evidence of pest activity, foreign materials and other signs of hazardous materials? If this question is answered No, an automatic failure of the audit will occur.	N/A	0	0		
03.03c	Is packed product free from evidence of pest activity, foreign materials, hazardous materials and any adulteration issues? If this question is answered No, an automatic failure of the audit will occur.	N/A	0	0		
03.03d	Is product and packing material free from exposure to the ground and or any handling contamination?	N/A	0	0		
03.03e	Does the operation inspect packaging prior to use and is packed product inspected after packing; where contamination issues are found is corrective action taken and record?	N/A	0	0		
03.03f	If packing material is left in the field overnight is it secured and protected?	N/A	0	0		
03.04		No	0	0		

	Are grading and packing tables used? If No, go to 3.5.					
03.04a	Does the surface allow for easy sanitation?	N/A	0	0		
03.04b	Are grading and packing tables subject to a documented cleaning program including stating the frequency of cleaning and cleaning procedures? If No, go to 3.5.	N/A	0	0		
03.04c	Is an anti-microbial solution (e.g. chlorinated or equivalent) used to sanitize the grading and packing tables after cleaning has occurred?	N/A	0	0		
03.04d	Are records of grading and packing tables equipment cleaning being maintained?	N/A	0	0		
03.05	Are re-useable containers (e.g. buckets, field totes, lugs, bins) used in the harvesting operation? If No, go to 3.6.	No	0	0		No. There are no re-useable containers used in the harvest operation.
03.05a	Are re-useable containers made of easy to clean materials?	N/A	0	0		
03.05b	Are re-useable containers subject to a documented cleaning program including stating the frequency of cleaning and cleaning procedures? If No, go to 3.5e.	N/A	0	0		
03.05c	Is an anti-microbial solution (e.g. chlorinated or equivalent) used to sanitize the re-useable containers after cleaning has occurred?	N/A	0	0		
03.05d	Are records of re-useable containers cleaning being maintained?	N/A	0	0		
03.05e	Are re-useable containers free from any handling contamination?	N/A	0	0		
03.06	Are tools (e.g. knives, clippers, scissors, etc.) used in harvesting? If No, go to 3.7.	Yes	0	0	This refers to harvest tools (e.g. knives, clippers, scissors, etc.) used in harvesting.	Yes. Stainless steel knives with plastic handles are used.
03.06a	Are harvest tools (e.g. knives, coring rings, holsters) being used, made	No	0	5	To prevent foreign contamination issues, harvest tools (e.g., knives, coring rings,	No. Some employees were using carton holsters for his stainless

	of non corrosive and easy to clean materials (e.g. no wood or fabric parts)?				etc.) should be constructed of easy to clean materials. Tools should be shard free, smooth seamed that do Not have ability to flake or oxidize.	steel knife
03.06b	Are harvest tools not being taken into break or toilet areas or used for any other purpose other than product harvesting?	Yes	5	5		
03.06c	Are harvest tools free from exposure to the ground and or any handling contamination?	Yes	5	5		
03.06d	Is there an equipment and utensil (e.g. knives) storage and control procedures when not in use?	Yes	5	5		Yes.The crew foreman collects all of the knives at the end of the work day and keeps them secure in his bus until the next work day.
03.06e	Are harvest tools subject to a documented cleaning program including stating the frequency of cleaning and cleaning procedures? If No, go to 3.6h.	Yes	5	5		Yes.The procedures are documented.
03.06f	Is an anti-microbial solution (e.g. chlorinated or equivalent) used to sanitize the harvesting tools after cleaning has occurred?	Yes	5	5		Yes.A chlorine bleach is used .The concentration of free chlorine is checked with PPM. (between 150 – 200 ppm)
03.06g	Are records of harvesting tools cleaning being maintained?	Yes	5	5		Yes.The crew foreman keeps daily logs.
03.06h	Are harvesting tool dips being maintained properly in terms of anti-microbial solution strength and are records of the solutions checks being maintained? AUDITORS SHOULD REQUIRE A TEST AT THE TIME OF THE AUDIT.	Yes	5	5		Yes.The harvest tool dips are checked prior to work start up and then twice or thrice more during the day. The crew foreman keeps a log of these records. A sample test was performed during the audit.
03.07	Is machinery used in the harvesting process? If No, go to 3.8.	Yes	0	0	This includes equipment with the potential to affect product (e.g., conveyor belts, mechanical harvesting units, field packing rigs, coring rigs and any "in-field" processing rigs). Please note that there are some more specific questions for coring rigs and any "in-field" processing rigs in a later section.	

03.07a	Are all food contact surfaces on the machinery used in the harvest process constructed of food grade materials or stainless steel?	Yes	5	5	
03.07b	Does the packing surface allow for easy sanitation?	Yes	5	5	
03.07c	Is the harvesting equipment subject to a documented cleaning program including stating the cleaning frequency and cleaning procedures? If No, go to 3.7f.	Yes	5	5	Yes. There was a documented program in place. The operation follows the protocol of the LGMA regarding cleaning and sanitizing the machinery.
03.07d	Is an anti-microbial solution (e.g. chlorinated or equivalent) used to sanitize the harvesting equipment after cleaning has occurred?	Yes	5	5	
03.07e	Are records of harvesting equipment cleaning being maintained?	Yes	5	5	Yes. Records were available for review.
03.07f	Is equipment designed and used properly to minimize product contamination (e.g. drip pans utilized, lights protected)?	Yes	5	5	
03.07g	Are only food grade lubricants used on the critical parts of the harvesting machinery that have the potential to contaminate product?	Yes	3	3	
03.07h	Are all glass issues on harvesting machines, in-field trucks, and tractors protected in some manner?	No	0	3	Glass located on the harvesting machinery (e.g. lights), night lights) that may pose a threat of contamination onto product, packaging, and re-useable containers should be protected. Machinery includes tractors and other equipment that may come into contact with product. There should be no evidence of cracked lenses. No. Lights on trucks and tractors are unprotected.
03.07i	Are all platforms above product, packaging, or food contact surfaces (e.g. belts) on the harvest machinery, in-field trucks fitted with protection to prevent product contamination?	Yes	3	3	
03.08	Is water used directly on product contact (e.g. re-hydration, core in field)? If No, go to 3.9.	Yes	0	0	This refers to water that is used directly on product contact. Examples may include but are not limited to re-hydration, core

					in field.
03.08a	Are microbial tests conducted including Generic E.coli on water used for washing, hydrating, etc. harvested crops (e.g. re-hydration, core in field) ? If No, go to 3.8c.	Yes	10	10	
03.08b	Are the microbiological tests current and conducted at the required and/or expected frequencies?	Yes	10	10	
03.08c	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	Yes	10	10	
03.08d	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	N/A	0	0	N/A.No abnormal results have been detected.
03.08e	Are the anti-microbial parameters clearly documented and correct for the type anti-microbial being used?	N/A	0	0	
03.08f	Are anti-microbial checks being performed on a routine basis?	Yes	10	10	
03.08g	Are corrective actions recorded where anti-microbial results are less than the stated minimum criteria?	N/A	0	0	N/A.No logged results showed to be less than the minimum criteria.
03.09	Is the harvested product "in-field processed" or "In-field semi-processed" (e.g. core in field, top & tail, florets)? If No, go to 4.1.	Yes	0	0	"In field processed" products are subject to the all the questions in this audit and these extra requirements below. "In field processed" usually refers to product who having multiple cuts surfaces created in the field e.g. coring in field, topping & tailing, florets.
03.09a	Does the process flow, machine layout, employee control, utensil control, etc. ensure that processed products are not contaminated by unprocessed products?	Yes	5	5	
03.09b	Do all employees that come in contact with the product being harvested wear clean protective outer garments (e.g. hairnets, plastic gloves,	Yes	5	5	Yes.Employees wear hairnets, gloves, sleeves and aprons.

	sleeves and aprons)?			
03.09c	Do all employees that wear protective outer garments remove and keep them in a clean and secure area during breaks or when using the toilet facilities?	Yes	5	5
03.09d	Are all plastic bin liners closed immediately after harvest to avoid contamination of the harvested product?	Yes	3	3

Transportation and Tracking







Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/Picture
04.01	Are the vehicles transporting fresh produce from field to facility limited to this function only and maintained in proper condition?	Yes	5	5		Yes.Specialized trucks are used.	
04.02	Is there a system in place to track product from the farm? If No, go to 5.1.	Yes	20	20		Yes.Each carton is coded with sticker.	
04.02a	Is harvested product (each individual unit) coded to identify date of harvest? NOTE: This question is targeting finished goods packed in the field. N/A for raw material/bulk product destined for further handling in a packinghouse or processor facility.	N/A	0	0			
04.02b	Is harvested product (each individual unit) coded to identify production area where grown (e.g. field/lot/greenhouse)? NOTE: This question is targeting finished goods packed in the field. N/A for raw material/bulk product destined for further handling in a packinghouse or processor facility.	N/A	0	0			



On Site Storage

Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/Picture
05.01	Is there an on site storage for items and/or equipment used in the harvest process (e.g., packing material, cartons, clamshells, re-usable containers, disinfectants, grading/packing tables, RPCs)? If No, go to 6.1.	No	0	0			
05.01a	Are packaging, containers, and harvesting equipment stored to prevent cross contamination (this includes RPCs, cartons, clamshells, bins, and other harvesting type of containers that are single use or reusable, etc.)?	N/A	0	0			
05.01b	Is the storage area under a sanitation program?	N/A	0	0			
05.01c	Is the storage area under a pest control program?	N/A	0	0			

Food Security

Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/ Picture
06.01	Is access to the harvest area and related equipment controlled (unauthorized individuals Not allowed near the equipment)?	Yes	5	5			
06.02	Are Harvest Crew Forman and members directed to report entry of unauthorized individuals?	Yes	5	5			
06.03	Does the company have documented food security policies?	Yes	3	3			
06.04	Are materials such as sanitizers or packaging (cartons, film, wraps, etc.) stored in secured areas overnight?	N/A	0	0		N/A. None are stored in the field overnight.	
06.05	Are materials (e.g. cartons, clamshells, re-usable containers, disinfectants, grading/packing tables, RPCs) when used in the field while harvesting, controlled in a manner that prevents unauthorized tampering (e.g. on a truck near point of harvest)?	Yes	5	5			
06.06	Are background checks conducted on critical personnel?	Yes	5	5			
06.07	Are all personal materials kept away from the harvest and packaging of the harvesting operation?	Yes	5	5			
06.08	Are all personnel required to undergo training on food security issues and are records kept?	Yes	5	5			
06.09	Are off premises transportation vehicles (trucks, trailers, rail cars, etc) equipped with cell phones, two way radio, GPS or other positioning systems?	Yes	3	3			

Audit Scoring Summary			
	Inspection	Score	40
		Possible Points	40
		Percent Score	100%
	Employee Activities & Sanitary Facilities	Score	287
		Possible Points	297
		Percent Score	97%
	Harvest Practices	Score	169
		Possible Points	177
		Percent Score	95%
	Transportation and Tracking	Score	25
		Possible Points	25
		Percent Score	100%
	On Site Storage	Score	0
		Possible Points	0
		Percent Score	0%
	Total	Score	521
		Possible Points	539
		Percent Score	97%

Food Security Scoring Summary			
	Food Security	Score	36
		Possible Points	36
		Percent Score	100%
	Total	Score	36
		Possible Points	36
		Percent Score	100%

Score System For Entire Audit

Percentage/Category
95–100% Superior
85–94% Excellent
73–84% Standard
61–72% Marginal
<60% Unsatisfactory